Patent Docket P2533901

YON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

David Botstein et al.

Serial No.: 09/648,183

Filed: 25 August 2000

For: CARDIOTROPHIN-1 COMPOSITIONS

AND METHODS FOR THE TREATMENT OF TUMOR

Group Art Unit: 1641

Examiner: Not Assigned

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on

November 3, 2000

Pamela Gavette

REQUEST FOR A CORRECTED FILING RECEIPT

RECEIVED

MAY 1 6-2001

TECH CENTER 1600/2900

Assistant Commissioner of Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Sir:

Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested. Please make the correction as follows: Under Continuing Data as Claimed by Applicant, please delete "WHICH CLAIMS BENEFIT OF 60/113,296 12/22/1998" and insert --which is a non-provisional application of Application Serial No. 09/033,114, filed March 2, 1998, which is a continuation of U.S. Application Serial No. 08/733,850 filed October 18, 1996, now abandoned, which is a continuation of U.S. Application Serial No. 08/443,129 filed May 17, 1995, issued May 6, 1997 as U.S. Patent No. 5,627,073, which is a divisional application of U.S. Application Serial No. 08/286,304 filed August 5, 1994, issued November 5, 1996 as U.S. Patent No. 5,571,893, which is a continuation-in-part of U.S. Application Serial No. 08/233,609 filed April 25, 1994, issued July 9, 1996 as U.S. Patent No. 5,534,615, and which claims benefit to U.S. Provisional Application Serial No. 60/113,296, filed December 22, 1998, now abandoned--.

09/648,183 Patent Docket P2533C1

The correction is not due to any error by applicant and no fee is believed to be due. However, in the event that the Patent Office determines that fees are due in connection with the filing of this document, we hereby authorize the Commissioner to charge such fees to our Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

Date: November 3, 2000

By: Deirdre L. Conley Reg. No. 36,487

1 DNA Way

So. San Francisco, CA 94080-4990

Phone: (650) 225-2066 Fax: (650) 952-9881

PCDoc # 82109



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

DRAWINGS TOT CLAIMS IND CLAIMS FIL FEE REC'D ATTY.DOCKET.NO APPLICATION NUMBER **GRP ART UNIT** 09/648,183 08/25/2000 690 P2533C1 1641

Genentech Inc Attn Deirde L Conley 1 DNA Way South San Francisco, CA 94080-4990

DECEIVED

FILING RECEIPT *OC000000005442117*

OCT 0 9 2000

CENTRALECTA AND LEGAL DEPT.

Date Mailed: 10/02/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David Botstein, Belmont, CA , Audrey Goddard, San Francisco, CA David A. Lawrence, San Francisco, CA, Diane Pennica, Burlingame, CA; Margaret Ann Roy, San Francisco, CA William I. Wood, Hillsborough, CA;

RECEIVED MAY 1 6 2001 TECH CENTER 1600/2900

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/234,730 01/21/1999

WHICH CLAIMS BENEFIT OF 60/113,296 12/22/1998

Which is a non-provisional application of Application Serial No. 09/033,1/4

Filed March 2, 1998

Which is a continuation of US Application

Serial No. 08/733,850 Filed October 18, 1996

Now abandoned

Now application of U.S. Application Foreign Applications If Required, Foreign Filing License Granted 09/29/2000 which is a confirmation of U.S. Application Cardiotrophin-1 compositions and methods for the treatment of tumor

15 yeard May 6, 1997 as V.S. Papent No. 5, 627,075, which is a divisional

13 cardiotrophin-1 compositions and methods for the treatment of tumor

15 yeard May 6, 1997 as V.S. Papent No. 5, 627,075, which is a divisional

16 cardiotrophin-1 compositions and methods for the treatment of tumor

15 yeard May 17, 1995

16 yeard May 17, 1995

18 yeard May 17, 1995

18 yeard May 18 yeard May 17, 1995

18 yeard May 18, 1997

18 yeard May 1 **Title Preliminary Class** Continuation-in-part of U.S. Application Senal No. 08/233,609 Gred April 25, 1994, Issued July 9, 1996 as U.S. Parent No.

5.534.615, and which dums herefit to U.S. Provisional Application Serial No. 60 113,296, filed December 22, 1998, now abandoned file://C:\APPS\PreExam\correspondence\1_A.xml



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
WWW.uspto.gov

CONFIRMATION NO. 5740

					·····
SERIAL NUMBER 09/648,183	FILING DATE 08/25/2000 RULE	CLASS	GROUP AR	T UNIT	ATTORNEY DOCKET NO. P2533C1
APPLICANTS		•		:	
David A. Lawre Diane Pennica, Margaret Ann R	Belmont, CA; d, San Francisco, CA; nce, San Francisco, C Burlingame, CA; Roy, San Francisco, CA d, Hillsborough, CA;			RE(DEIVED
WHICH IS A CO WHICH IS A DI WHICH IS A CI	TION IS A CON OF 08 ON OF 08/443,129 05/ V OF 08/286,304 08/08 P OF 08/233,609 04/2 S BENEFIT OF 60/11	/17/1995 PAT 5,627,0 /5/1994 PAT 5,571,89 /5/1994 PAT 5,534,61)73)3	TECH (CENTER 1600
** FOREIGN APPLIC	ATIONS **********	****			
IF REQUIRED, FORE GRANTED ** 09/29/2	EIGN FILING LICENSI	=			
Foreign Priority claimed 35 USC 119 (a-d) conditions met Verified and Acknowledged Exam	yes no Met after Allowance Init	STATE OR COUNTRY	SHEETS DRAWING 13	TOTAL CLAIMS 7	INDEPENDEI CLAIMS 1
ADDRESS	miller's Olynacore Zamil	iais			
Genentech Inc					
Attn Deirde L Conley					
1 DNA Way					•
South San Francisco	,CA 94080-4990				· · · · · · · · · · · · · · · · · · ·
					•
TITLE	belective mou	se ·			
<i>[</i> ,	lefective mou, ositions and methods	se f or the treatment of to	imot		
Cardiotrophin-1 cemp	lefective mou, ositions and methods	se for the treatment of to		Foos	
<i>[</i> ,	lefective mou, ositions and methods	for the treatment of to	☐ All	Fees	
	lefective mou, ositions and methods	se f or the treatment of to	☐ All	Fees 16 Fees (Fili	ng)
Cardiotrophin-1 cemp	S: Authority has been o	jiven in Paper	□ All	16 Fees (Fili	
Cardiotrophin-1 cemp FILING FEE FEES RECEIVED No		given in Paper redit DEPOSIT ACCO	DUNT Ime	16 Fees (Fili	ocessing Ext. o
Cardiotrophin-1 cemp FILING FEE FEES RECEIVED No	S: Authority has been ç to charge/c	given in Paper redit DEPOSIT ACCO	DUNT	16 Fees (Fili	ocessing Ext. o

RECEIVED
MAY 1 6 2001
TECH CENTER 1600/2900